

# The Projective Fundamental Group of Hom shifts

Léo Paviet Salomon, Pascal Vanier

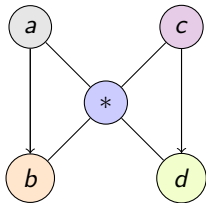
GREYC  
Université Caen-Normandie

March 29, 2023

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Consider a directed graph  $G$ .

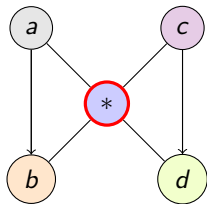
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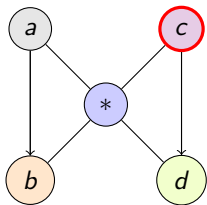
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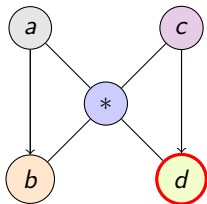
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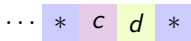
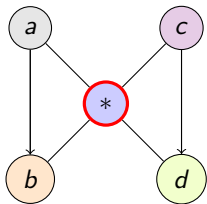
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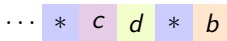
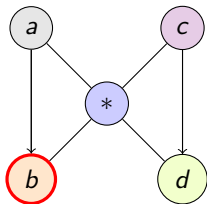
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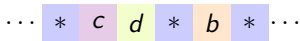
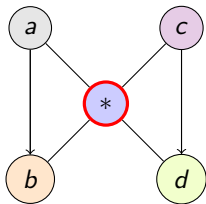
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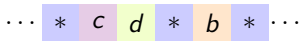
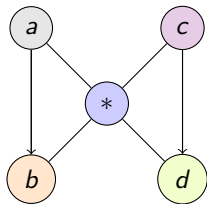




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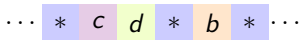
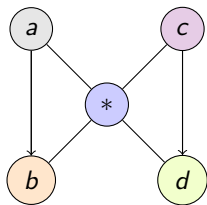


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Many properties of  $X_G$  can be decided by looking at the graph.

More generally, given a *non-directed* graph  $G$ , the Hom shift  $X_G$  in dimension  $d$  is the set of colourings of  $\mathbb{Z}^d$ :

- with the alphabet  $V(G)$  the vertices of  $G$
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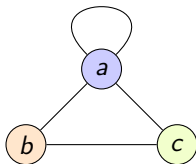
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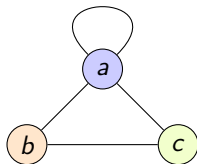
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|     |     |     |     |
|-----|-----|-----|-----|
| $c$ | $a$ | $b$ | $c$ |
| $b$ | $a$ | $a$ | $b$ |
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Idea: trace paths in the space, and see how we can continuously deform them.



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The fundamental group  $\pi_1(X)$  is a topological invariant.

Fix some  $x_0 \in X$ .

We consider a particular set of paths, the loops based on  $x_0$ .

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$\pi_1(X, x_0)$  is the group of loops, concatenation being the group operation.

Sphere:



$\pi_1 = \{e\}$ , the trivial  
group.

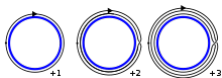
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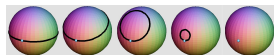
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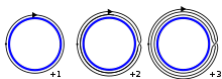
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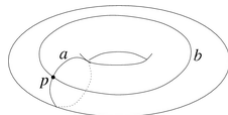
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Torus:



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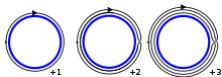
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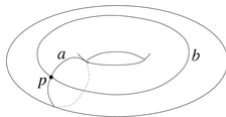
Here, the fundamental group does not depend on the chosen basepoint  $x_0$ : those spaces are (path-)connected.

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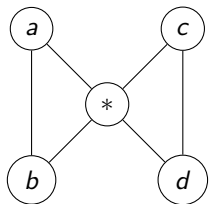
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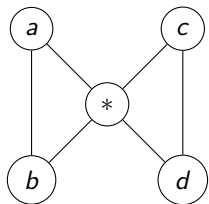
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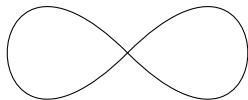
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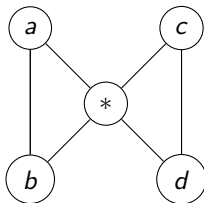
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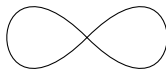
Topologically equivalent space

# Fundamental group and spanning trees

How to compute the fundamental group of this “topological graph” ?



Graph  $G$  with  $m$   
edges and  $n$   
vertices

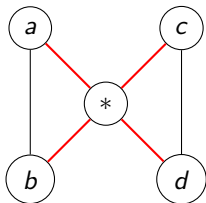


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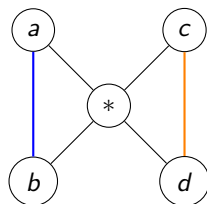


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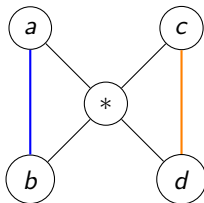


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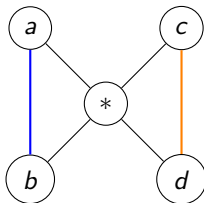


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Intuitively: those edges are the “cycle edges”; each one corresponds to an non-contractible loop



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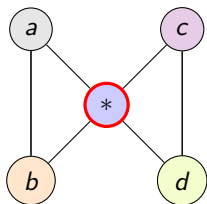
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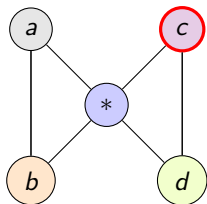
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Idea: define "local" fundamental groups, and take the limit.

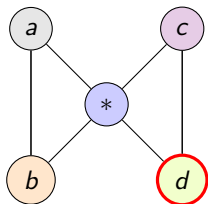
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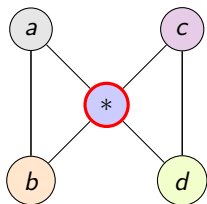
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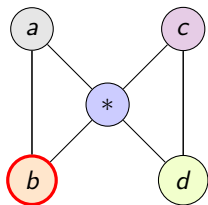


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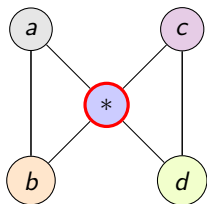




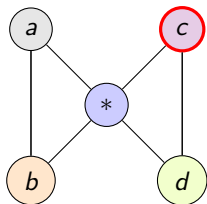
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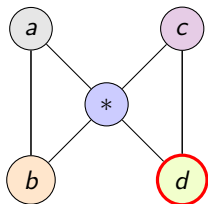
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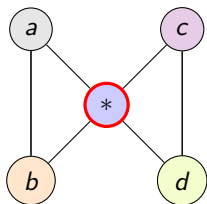
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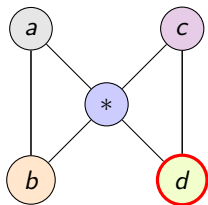
$d$



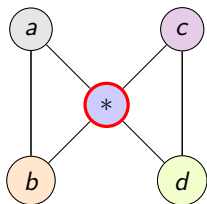
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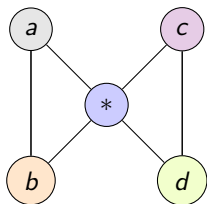
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To a loop in the graph  $G$ , we can associate a sequence of (here  $1 \times 1$ ) patterns of  $X_G$  + a closed loop in  $\mathbb{Z}^2$ .



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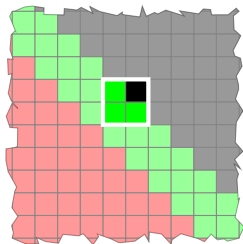
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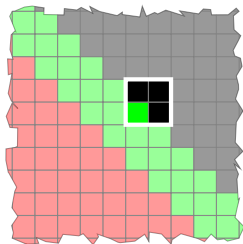
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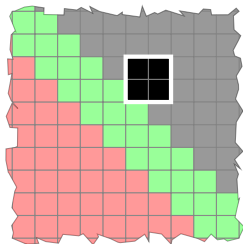
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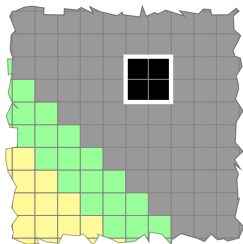
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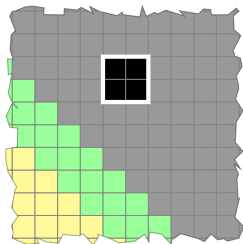
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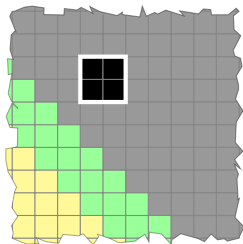
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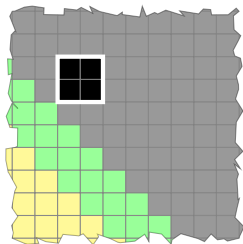
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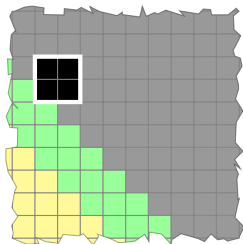
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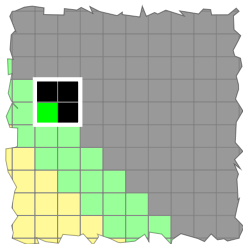


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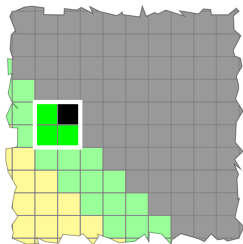




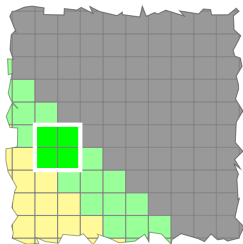
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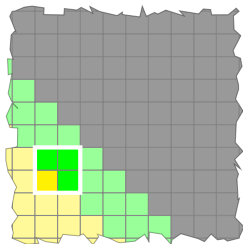
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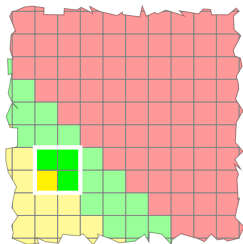
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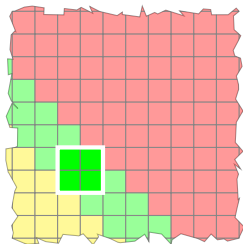
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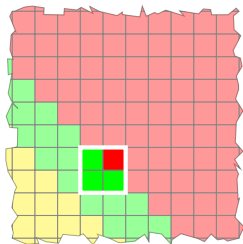
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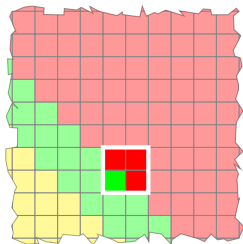
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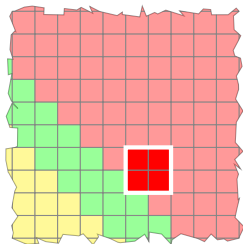


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This gives us a notion of path. What about deformations ?

Any part of a path that can be traced inside a single configuration can be replaced with another trajectory *inside this configuration*.

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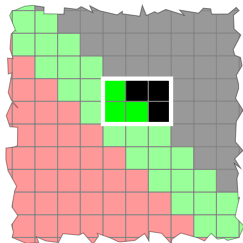
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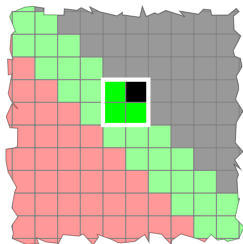
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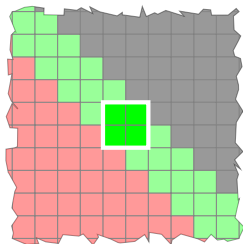
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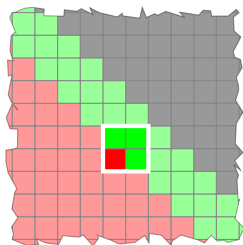


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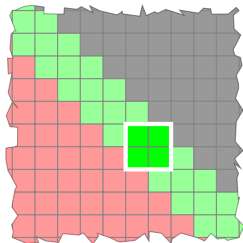


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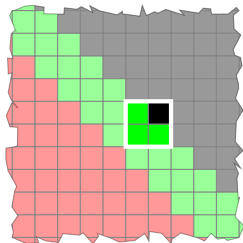
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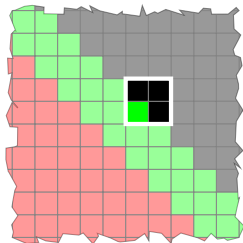
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Homotopic paths: paths that be deformed into one another with a finite sequence of such elementary deformations.

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We can therefore define *for each window*  $B \in \mathbb{Z}^2$  a fundamental group.



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This gives us one "fundamental group" *per aperture window*.

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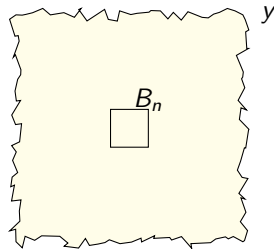
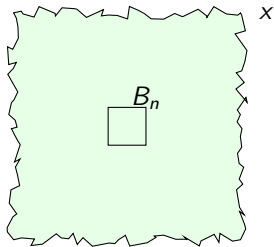
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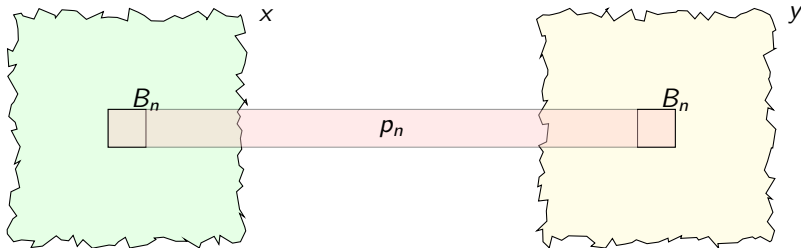
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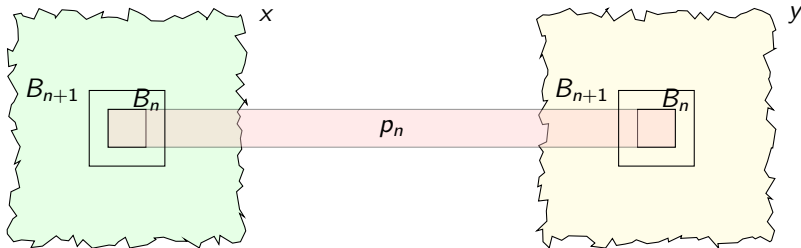
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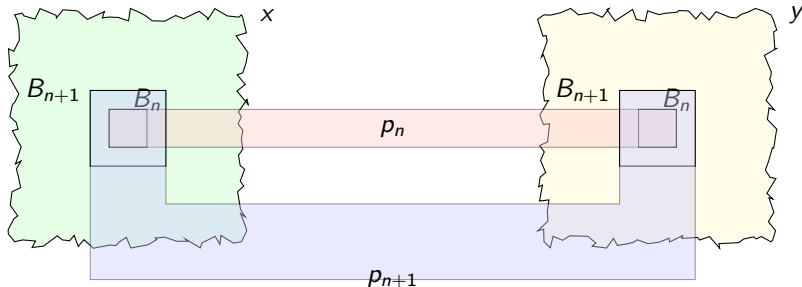
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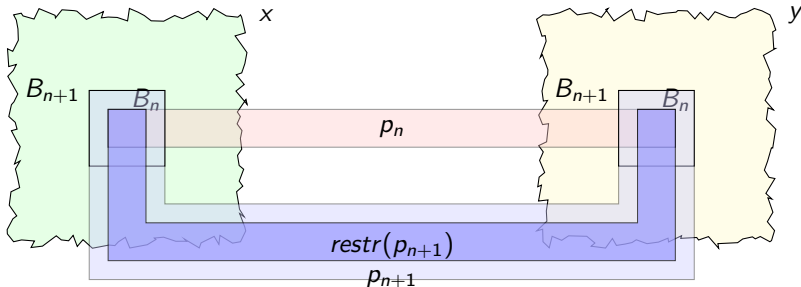
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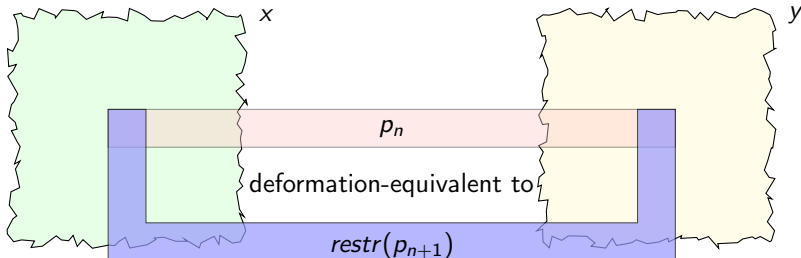
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Technical remarks:

- $T$  is bipartite: the theorem is in fact about its two projective path-components.

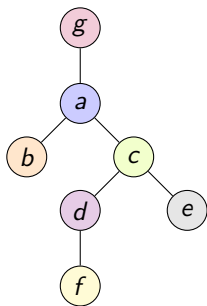
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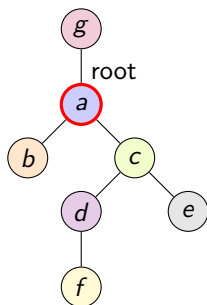
- $T$  is bipartite: the theorem is in fact about its two projective path-components.
- the definition of the projective fundamental group does not actually require  $X$  to be a subshift, so  $T$  being infinite is OK.

# Sketch of the proof: flip the deepest vertices



A tree  $T$  rooted in  $a$

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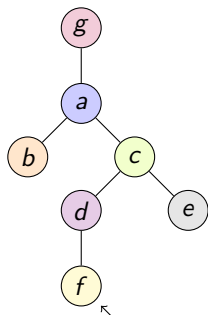


|     |     |     |     |     |     |
|-----|-----|-----|-----|-----|-----|
| $c$ | $e$ | $c$ | $d$ | $c$ | $a$ |
| $a$ | $c$ | $d$ | $c$ | $a$ | $b$ |
| $c$ | $e$ | $c$ | $d$ | $c$ | $a$ |
| $a$ | $c$ | $d$ | $f$ | $d$ | $c$ |
| $b$ | $a$ | $c$ | $d$ | $c$ | $a$ |
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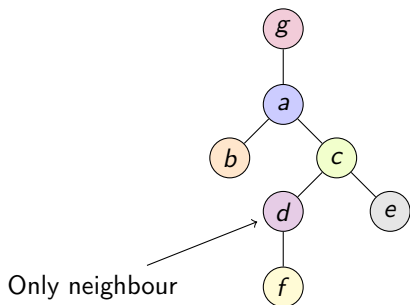
Deepest vertex in  $T$

|   |   |   |   |   |   |
|---|---|---|---|---|---|
| c | e | c | d | c | a |
| a | c | d | c | a | b |
| c | e | c | d | c | a |
| a | c | d | f | d | c |
| b | a | c | d | c | a |
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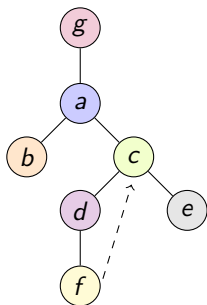


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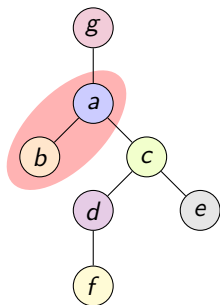
|   |   |   |   |   |   |
|---|---|---|---|---|---|
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| a | c | d | c | a | b |
| c | e | c | d | c | a |
| a | c | d | c | d | c |
| b | a | c | d | c | a |
| a | g | a | c | a | g |

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# Sketch of the proof: flip the deepest vertices



|          |          |          |          |          |          |
|----------|----------|----------|----------|----------|----------|
| <i>b</i> | <i>a</i> | <i>b</i> | <i>a</i> | <i>b</i> | <i>a</i> |
| <i>a</i> | <i>b</i> | <i>a</i> | <i>b</i> | <i>a</i> | <i>b</i> |
| <i>b</i> | <i>a</i> | <i>b</i> | <i>a</i> | <i>b</i> | <i>a</i> |
| <i>a</i> | <i>b</i> | <i>a</i> | <i>b</i> | <i>a</i> | <i>b</i> |
| <i>b</i> | <i>a</i> | <i>b</i> | <i>a</i> | <i>b</i> | <i>a</i> |
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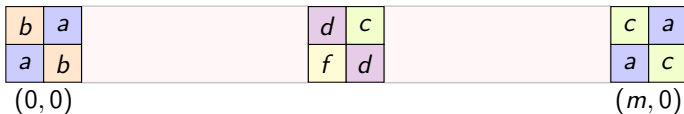
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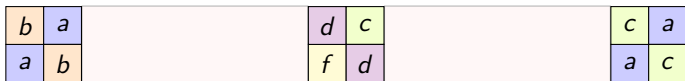
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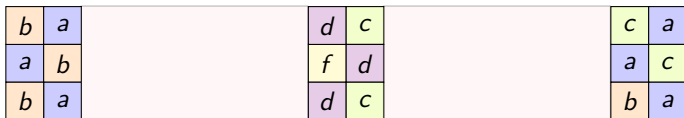


Extend the bottom side as before: go "up" in the tree

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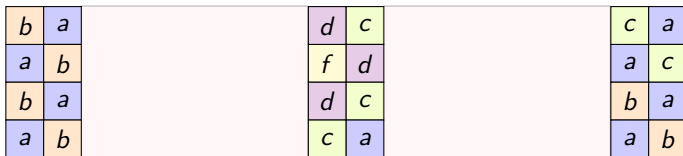
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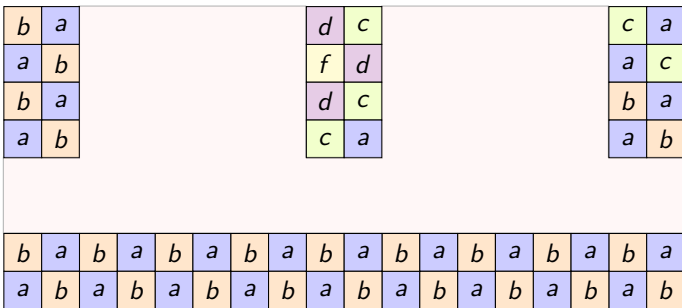




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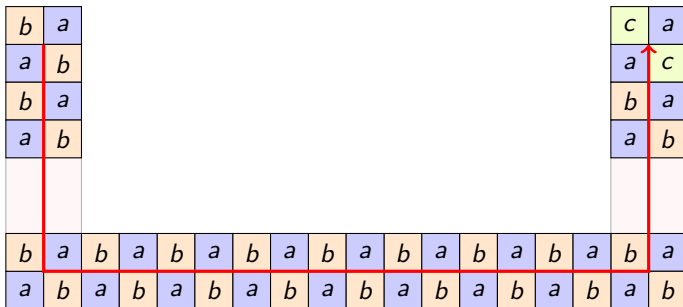




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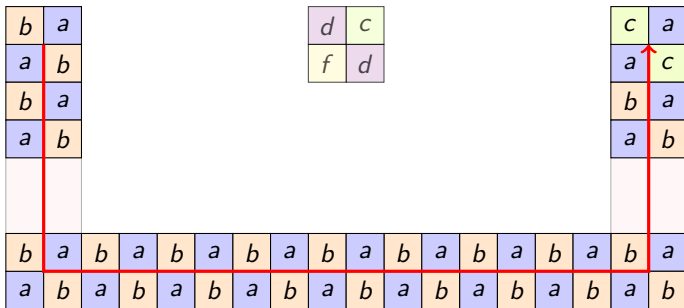
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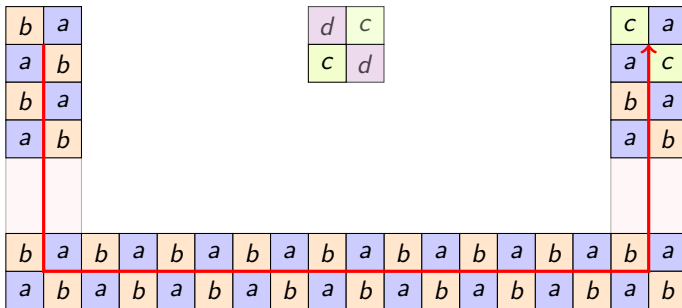
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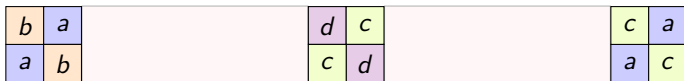
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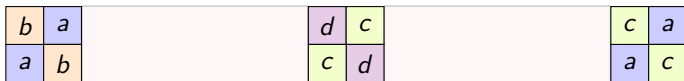
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We either reduced the maximal "depth" reached in  $p_n$  or the number of times it is reached: repeat to get a contractible path in the chessboard.

An important idea in topology adapted here: *universal coverings*



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## Definition (Universal Covering)

The universal covering of a graph  $G$  is the smallest tree  $\mathcal{U}_G$  that admits a surjective morphism  $\mathcal{U}_G \rightarrow G$

Intuitively, we “unroll” each cycle in an infinite branch of the tree.

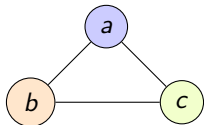
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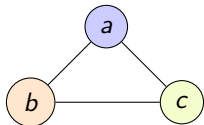
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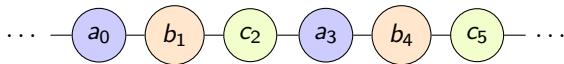
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Universal cover of  $G$

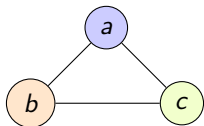
# Universal covering

An important idea in topology adapted here: *universal coverings*

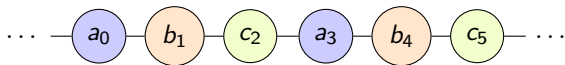
## Definition (Universal Covering)

The universal covering of a graph  $G$  is the smallest tree  $\mathcal{U}_G$  that admits a surjective morphism  $\mathcal{U}_G \rightarrow G$

Intuitively, we “unroll” each cycle in an infinite branch of the tree.



Graph  $G = C_3$



Universal cover of  $G$

In the following,  $\phi: \mathcal{U}_G \rightarrow G$  is one such surjective morphism.

Notation: For a graph  $G$ , we write  $\hat{X}_G = X_{\mathcal{U}_G}$

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Note that if  $G$  a cycle,  $\mathcal{U}_G$  is infinite:  $\hat{X}_G$  is not *actually* a subshift (it is not compact, for example).

The covering  $\phi: \mathcal{U}_G \rightarrow G$  induces a covering  $\hat{\phi}: \hat{X}_G \rightarrow X_G$  (apply  $\phi$  pointwise).

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|   |   |   |   |
|---|---|---|---|
| c | a | b | c |
| b | c | a | b |
| a | b | c | a |

Point of  $X_{C_3}$

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|---|---|---|---|
| c | a | b | c |
| b | c | a | b |
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Point of  $X_{C_3}$

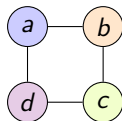
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|       |       |       |       |
|-------|-------|-------|-------|
| $c_2$ | $a_3$ | $b_4$ | $c_5$ |
| $b_1$ | $c_2$ | $a_3$ | $b_4$ |
| $a_0$ | $b_1$ | $c_2$ | $a_3$ |

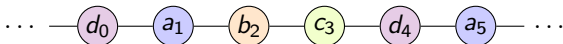
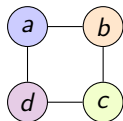
Point of  $\hat{X}_{C_3}$

The “good condition” mentioned above:  $G$  contains no squares (cycles of length 4).

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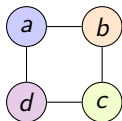


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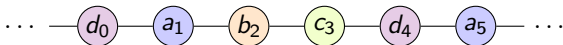


# No squares

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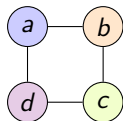


|     |     |
|-----|-----|
| $a$ | $b$ |
| $d$ | $c$ |



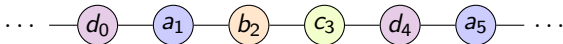
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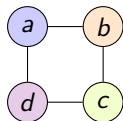
|     |     |
|-----|-----|
| $a$ | $b$ |
| $d$ | $c$ |

|       |       |
|-------|-------|
| $a_1$ | $b_2$ |
| $d_7$ | $c_3$ |



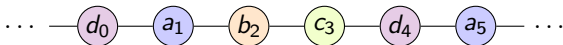
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|     |     |
|-----|-----|
| $a$ | $b$ |
| $d$ | $c$ |

|       |       |
|-------|-------|
| $a_1$ | $b_2$ |
| $d_7$ | $c_3$ |



Does not lift



This gives us the following theorem:

## Theorem (Square-free)

*Let  $G$  a non-bipartite, loop-free, square-free graph, with  $m$  edges and  $n$  vertices. Then,  $\pi_1^{\text{proj}}(G) = F_{m-n+1}$ , the free-group on  $m - n + 1$  generators.*

Understand the link between

- the graph  $G$  and its universal covering  $\mathcal{U}_G$

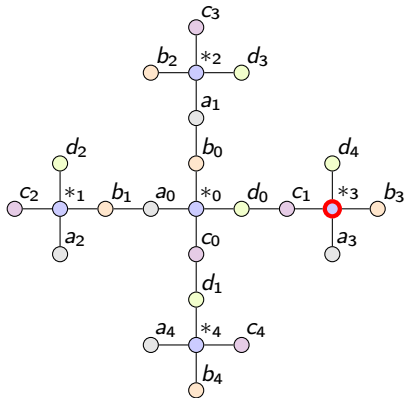
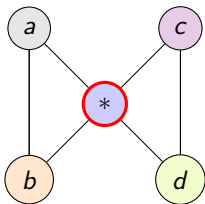
Understand the link between

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- the induced Hom shifts  $X_G$  and  $\hat{X}_G$

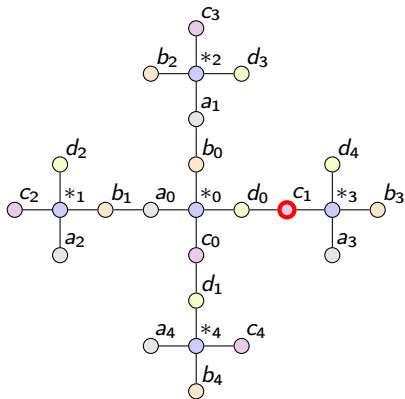
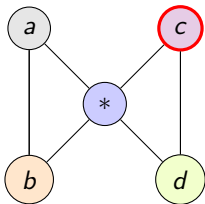
Understand the link between

- the graph  $G$  and its universal covering  $\mathcal{U}_G$
- the induced Hom shifts  $X_G$  and  $\hat{X}_G$
- and their respective fundamental groups  $\pi_1^{proj}(X_G)$  and  $\pi_1^{proj}(\hat{X}_G)$

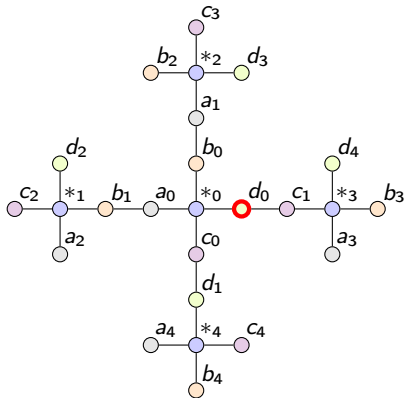
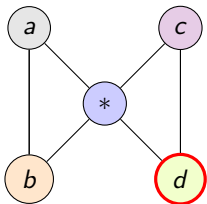
# A loop in $X_G$ , in $G$ and its lift in the universal covering



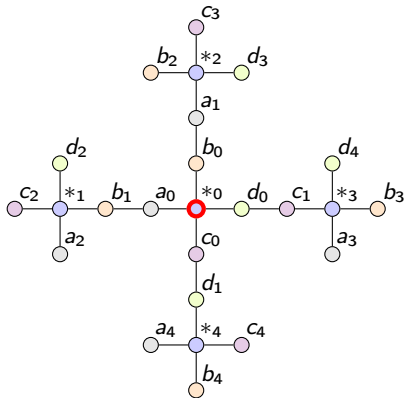
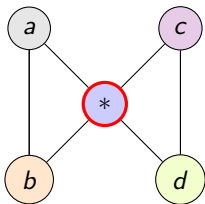
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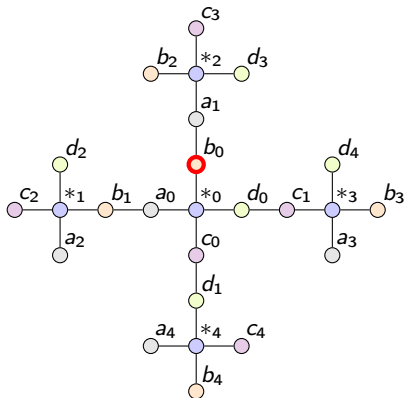
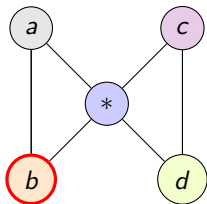


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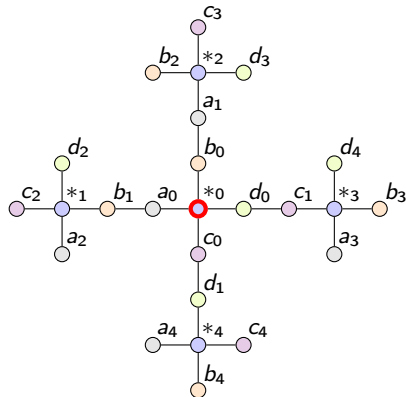
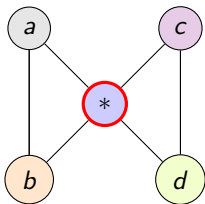




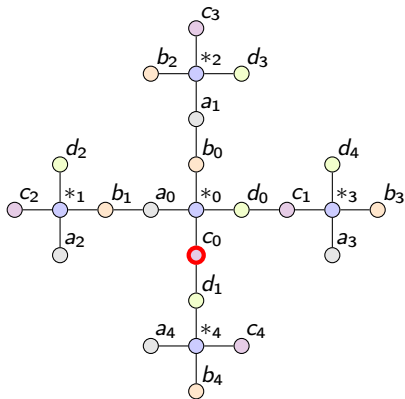
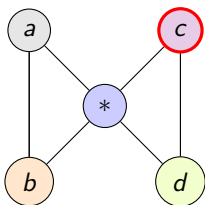
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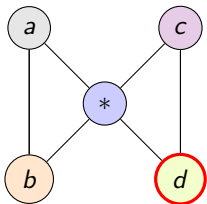
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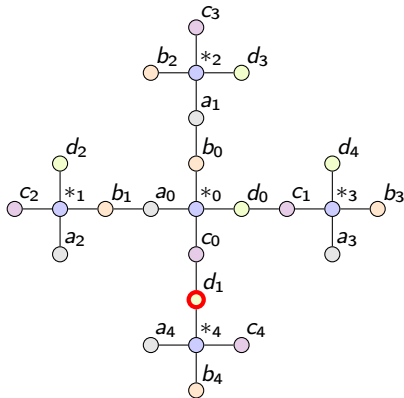


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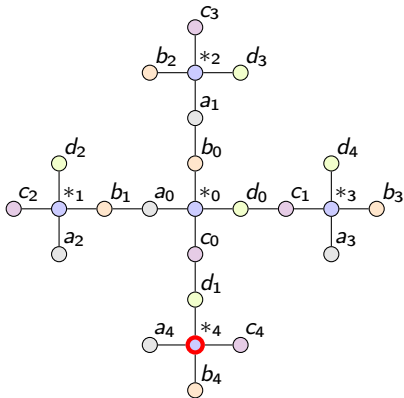
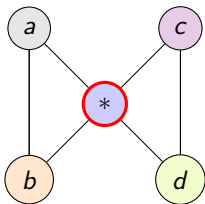


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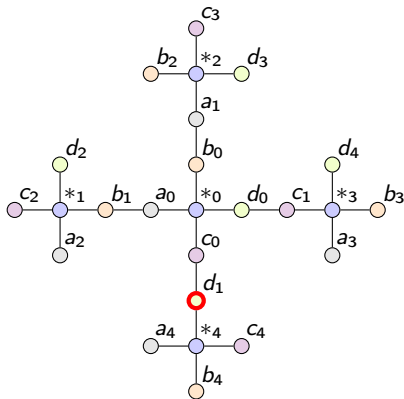
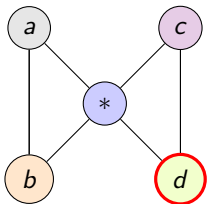
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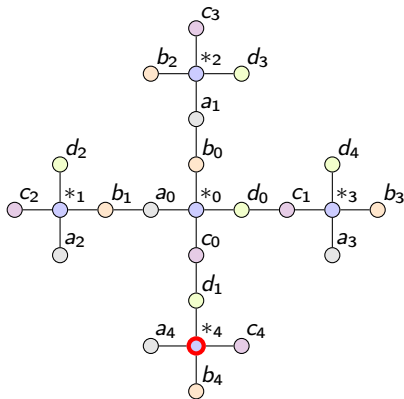
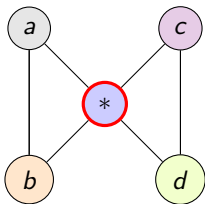
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- What about graphs containing squares ?

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- What about graphs containing squares ?
- In the general case: what is the complexity of computing the fundamental group ? Of deciding if the subshift is “projectively connected” ?



Nishant Chandgotia.

Four-cycle free graphs, height functions, the pivot property and entropy minimality.

*Ergodic Theory and Dynamical Systems*, 37(4):1102–1132, 2017.



William Geller and James Propp.

The projective fundamental group of a  $\mathbb{Z}^2$ -shift.

*Ergodic Theory and Dynamical Systems*, 15(6):1091–1118, 1995.