

# Computability of extender sets in multidimensional subshifts

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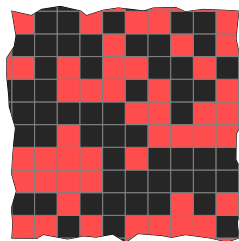
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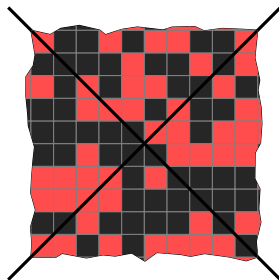
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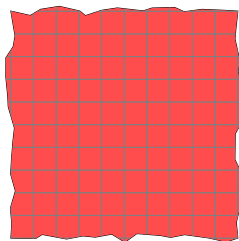
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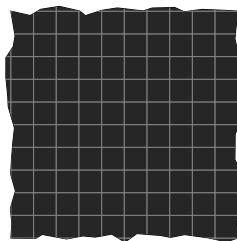
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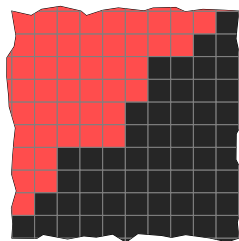
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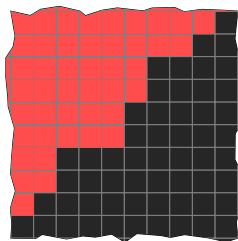
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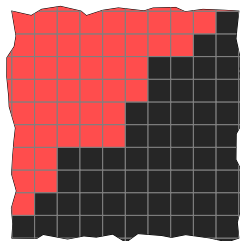
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Classes of subshifts: if  $\mathcal{F}$  is ...

- finite: **subshift of finite type**
- regular ( $d=1$ ): **sofic**
- recursively enumerable: **effective**

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This quantifies how many classes of patterns can be freely exchanged in **any** configuration: patterns are equivalent if they can appear in exactly the same “contexts” in  $X$ .

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Extender sets	Exactly 2
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$\mathcal{F} =$	$\{\square^{\text{yellow}}\square^n\square^{\text{yellow}}, n \geq 0\}$	$\{\square^{\text{red}}\square^n\square^{\text{black}}\square^m, m \neq n\}$
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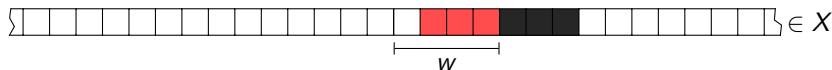
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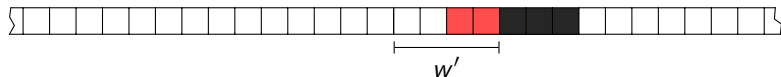
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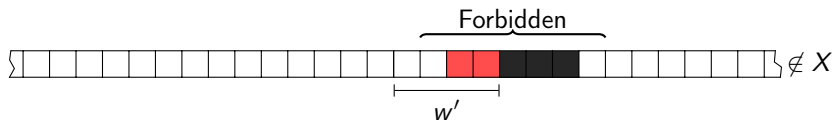


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## A Nerode-like theorem

Theorem (Ormes and Pavlov, 2016)

*If  $X$  is a  $\mathbb{Z}^d$ -subshift, and if there exists some  $n > 0$  such that  $E_X(n) \leq n$ , then  $X$  is sofic.*



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For  $\mathcal{F} = \{\square \blacksquare^n \blacksquare^m \square, m \neq n\}$ , we had  $E_{X_{\mathcal{F}}}(n) > n$  for all  $n$ , so it defines a non-sofic subshift.

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Goal: understand the possible behaviours of the function  $E_X$  (for  $X$  sofic or effective, on  $\mathbb{Z}$  or  $\mathbb{Z}^2$ , etc).

# Extender entropy

Let  $X$  any subshift in any dimension  $d$ , over some alphabet  $\mathcal{A}$ . We define its **extender entropy** as

$$h_E(X) = \lim_{n \rightarrow +\infty} \frac{\log E_X(n)}{n^d} = \inf_{n \rightarrow +\infty} \frac{\log E_X(n)}{n^d}$$

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More informally: (up to some recoding of  $X$  as a binary subshift)  
 $h_E(X) = \alpha$  means that there is only  $0 \leq \alpha \leq 1$  bit of information per cell.

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In our particular problem, what we really need is the notion of **computable real numbers**.

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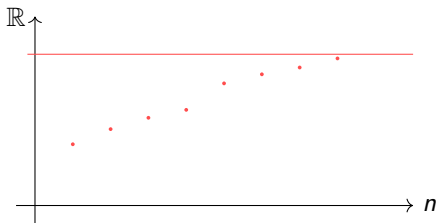


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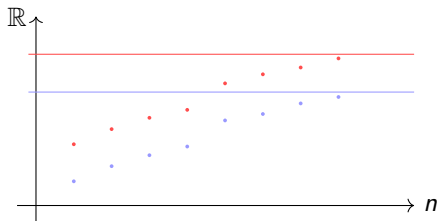
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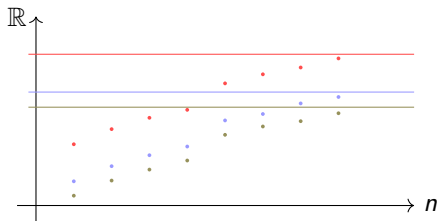
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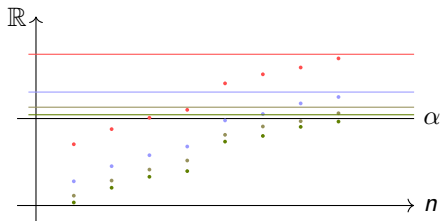


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# Characterization: effective $\mathbb{Z}$ subshifts

We prove the following theorem:

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“Easy” direction:  $h_E(X) = \inf_n \langle \text{something} \rangle$  is always a  $\Pi_3$  real number.  
Other direction: we construct for any  $\alpha \in \Pi_3$  an effective  $\mathbb{Z}$ -subshift  $X_\alpha$ , with  $h_E(X_\alpha) = \alpha$ .

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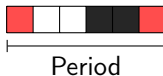


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If all the configurations of  $X$  are periodic, we can relate  $E_X(n)$  to the number of  $n$ -periodic configurations.

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We need to have roughly  $2^{\alpha n n^d}$  patterns of size  $n$  to ensure  $h_E(X_\alpha) = \alpha$ .

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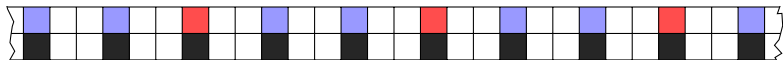
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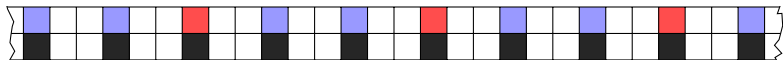
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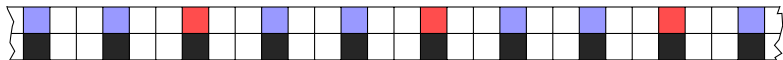


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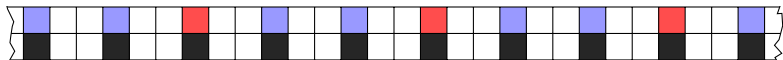


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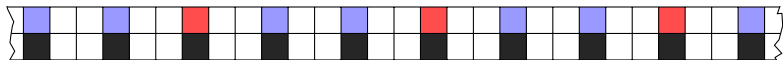
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- Arbitrarily large periods + compactness:  $X$  contains non-periodic points, how do we deal with those ?

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Theorem (Callard, Vanier, P., 2023+)

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The fact it not always 0 has already been remarked in Destombes and Romashchenko, 2022 (setting: necessary conditions to be sofic in terms of resource-bounded Kolmogorov complexity).

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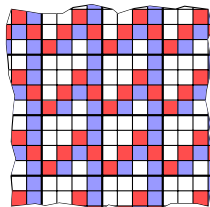
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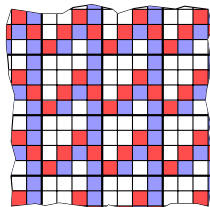
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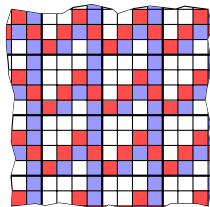


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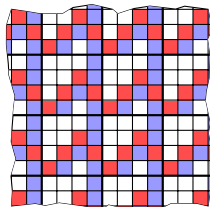
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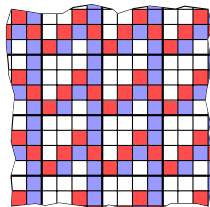
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- Idea: set the density using standard theorems (Aubrun-Sablik, Durand-Romashchenko-Shen), and only have a single bit be periodic rather than entire blocks.



# Summary

Extender entropies:

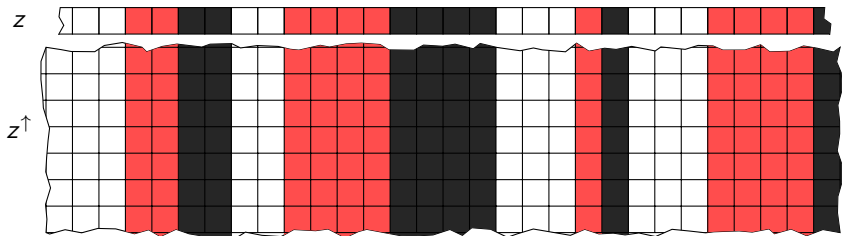
	$\mathbb{Z}$	$\mathbb{Z}^{d \geq 2}$
SFT	$\{0\}$	
Sofic	$\{0\}$	$\Pi_3$
Effective	$\Pi_3$	
Computable	$\Pi_2$	
Effective and minimal	$\Pi_1$	
Effective and 1-Mixing/Block-Gluing	$\Pi_3$	

# Deal with complex $\mathbb{Z}^2$ sofic

Define the **lift**  $z^\uparrow$  of a  $\mathbb{Z}$ -configuration  $z$  as the bidimensional configuration  $y$  whose rows are all equal to  $z$ .

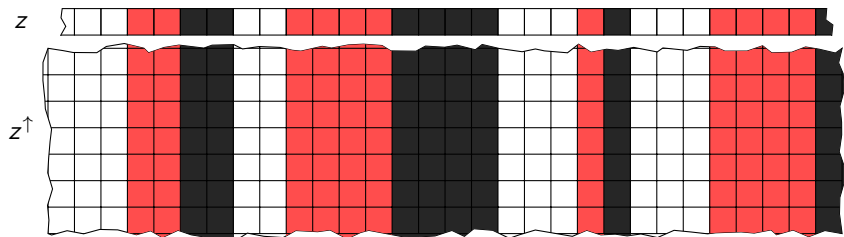
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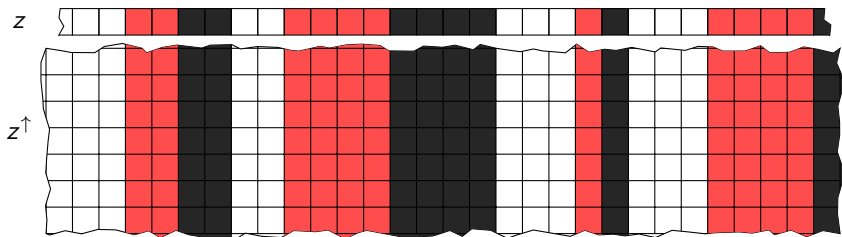
A very important theorem (used here as a “black-box”):

Theorem (Durand, Romashchenko and Shen, 2012, Aubrun and Sablik 2016)

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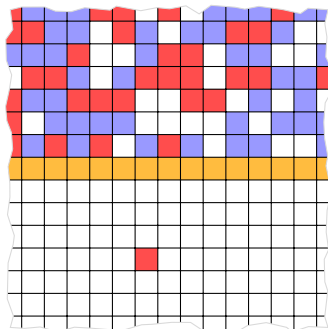
Allows us to re-use the  $\mathbb{Z}$ -effective construction for sofic  $\mathbb{Z}^2$  subshifts.

## Deal with periods

Illustrate the fact that periodizing only one bit is sufficient: use a variant of the mirror shift where only *one* bit is mirrored (idea of Destombes and Romashchenko, 2022).

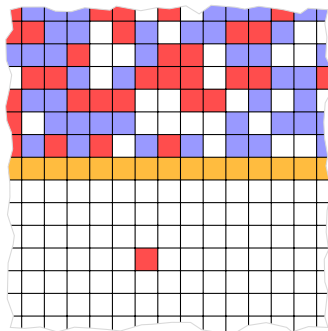
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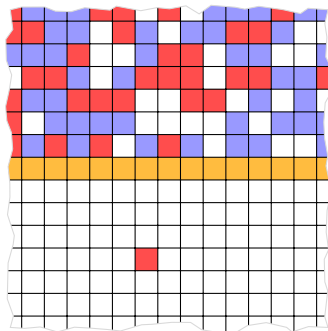
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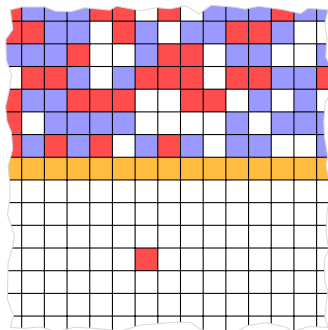


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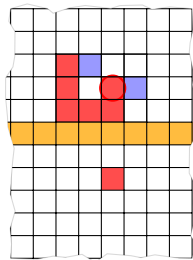


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